

FORM PTO-1390 REV. 5-93		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NUMBER <b>P01,0032</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>			U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/786742</b>
INTERNATIONAL APPLICATION NO. <b>PCT/DE99/02721</b>	INTERNATIONAL FILING DATE <b>01 September 1999</b>	PRIORITY DATE CLAIMED <b>08 September 1998</b>	
TITLE OF INVENTION <b>LASER RADIATION SOURCE</b>			
APPLICANT(S) FOR DO/EO/US <b>Heinrich JÜRGENSEN</b>			
Applicant herewith submits to the United States /Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination will be made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>Unexecuted</b> 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <b>Items 11. to 16. below concern other document(s) or information included:</b> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. <b>(SEE ATTACHED ENVELOPE)</b> 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input checked="" type="checkbox"/> A substitute specification - <b>Marked up copy of Substitute Specification.</b> 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: a. <input checked="" type="checkbox"/> Submission of Drawings - <b>Thirty Nine sheets of Drawings - Drawing Correction Letter - Translation of drawings</b> b. <input checked="" type="checkbox"/> <b>EXPRESS MAIL #EL655301655US dated March 8, 2001.</b>			

U.S. APPLICATION NO. (if known) 09/78642

INTERNATIONAL APPLICATION NO.  
PCT/DE99/02721

ATTORNEY'S DOCKET NUMBER  
P01,0032

**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) ..... \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$760.00

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$970.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$ 0

Claims

Number Filed

Number Extra

Rate

Total Claims

73 - 20 = 53

X \$ 18.00

\$ 954.00

Independent Claims

4 - 3 = 1

X \$ 80.00

\$ 80.00

Multiple Dependent Claims

\$270.00 +

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1894.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

**SUBTOTAL =**

\$860.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$860.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

**TOTAL FEES ENCLOSED =**

31894.00

Amount to be refunded

\$

charged

\$

- a. ☒ A check in the amount of **\$1894.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin & Waite  
Patent Department  
6600 Sears Tower  
Chicago, Illinois 60606  
CUSTOMER NO. 26574

SIGNATURE

Brett A. Valiquet

NAME

27,841

R gistration Number

3/24/03

-1-

BOX PCT  
IN THE UNITED STATES ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5

DRAWING CORRECTION LETTER

APPLICANT: HEINRICH JÜRGENSEN

DOCKET NO: P01,0032

SERIAL NO:

GROUP ART UNIT:

EXAMINER:

10 INTERNATIONAL APPLICATION NO: PCT/DE99/02721  
INTERNATIONAL FILING DATE: 01 September 1999  
INVENTION: "LASER RADIATION SOURCE"

Assistant Commissioner for Patents  
Washington, D.C. 20231

15 Sir:

Please amend Figs. 1, 2, 3, 4, 4b, 4c, 8, 26, 28, 29, 32, 33, 35, 36, 37, 38, 39, 39a, and 41 as indicated in red on the attached drawing copies.

Respectfully submitted,



(Reg.No. 27,841)

Brett A. Valiquet  
Schiff Hardin & Waite  
Patent Department  
6600 Sears Tower  
Chicago, Illinois 60606  
(312) 258-5786  
Attorneys for Applicant  
CUSTOMER NO. 26574

All  
Pages  
Approved  
by  
1/14/03

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25

09/786742

JC02 Rec'd PCT/PTO 08 MAR 2001

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**"Express Mail" Mailing Label Number EL655301655US**

**Date of Deposit: March 8, 2001**

I hereby certify that this CORRESPONDENCE IS BEING DEPOSITED WITH THE United States Postal "Express Mail Post Office To Addressee" SERVICE UNDER 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT**

**Hon. Assistant Commissioner for Patents**

**Washington, D. C. 20231**

**Case Number: P01,0032**

**International Application No.  
PCT/DE99/02721**

**International Filing Date  
01 September 1999**

**Priority Date Claimed  
08 September 1998**

**Title: "LASER RADIATION SOURCE"**

**Applicants: Heinrich JÜRGENSEN**

**Enclosed are the following documents:**

PTO 1390 in duplicate;  
International Application as filed  
English Translation  
Substitute Specification  
Marked up copy of Substitute Specification  
Information Disclosure Statement, References, Search Report, Form 1449  
Preliminary Amendment  
Drawing Correction Letter  
Thirty-Nine sheets of drawings  
Translation of Drawings  
Unexecuted Declaration  
\$1894.00  
Postcard.



**Signature of Person Mailing Documents and Fee**